

Michelle Seguin (VP, Corporate Services) - 2012/13 3rd Quarter Expense Report
 October 1, 2012 to December 31, 2012

Date	Purpose	Cost
9-Oct-12 to 10-Oct-12	Trip to Thunder Bay to attend Compensation Funding Framework Consultations with Kinna-aweya & Kenora Clinics	
	Accommodation	\$0.00
	Hospitality	\$0.00
	Meals	\$4.62
	Transportation	\$626.72
	Other	\$0.00
	Total	\$631.34
16-Oct-12	Travel to 425 Adelaide to meet with the Association of Community Legal Clinics of Ontario to discuss compensation funding & framework	
	Accommodation	\$0.00
	Hospitality	\$0.00
	Meals	\$0.00
	Transportation	\$12.00
	Other	\$0.00
	Total	\$12.00
06-Nov-12	Registration for Certified Institute of Chartered Accountants Conference for Audit Committees	
	Accommodation	\$0.00
	Hospitality	\$0.00
	Meals	\$0.00
	Transportation	\$0.00
	Other	\$2,022.70
	Total	\$2,022.70
Summary of Expenses		
Accommodation		\$0.00
Hospitality		\$0.00
Meals		\$4.62
Transportation		\$638.72
Other		\$2,022.70
	Total Expenses (Q3 - 2012/13)	\$2,666.04

Signature: _____ **Date:** _____

MONTHLY EXPENSE CLAIM

Michelle Seguin, V.P., and CAO													
NAME	DATE	DETAILED DESCRIPTION OF EXPENSE (Include Purpose, Place, # of people, Names & Titles)	EXP CODE	COST CENTRE	HOTEL	HOSPITALITY	MEALS	TRANSPORT	OTHER	KMS		AMOUNT	
										S \$0.40	N \$0.41		
	9-Oct-12	Lunch at Tim Horton's, Thunder Bay Airport re Clinic Compensation Funding Framework Consultations with Kinna-aweya and Kenora Clinics; visit to Courthouse and District Office	61100	830010			4.62					\$ 4.62	
	9-Oct-12	Co-op Cab from Island Airport home after Porter flight from Thunder Bay to Toronto following Clinic Compensation Funding Framework Consultations (Michelle Seguin and Randall Ellsworth)	61100	830010				25.00				\$ 25.00	
	10-Oct-12	Porter Airlines flight from Toronto to Ottawa/return to attend Clinic Compensation Framework Consultation	61100	830010				551.72				551.72	
	10-Oct-12	Beck Taxi from 40 Dundas Street to Island Airport prior to Porter flight to Ottawa to attend Clinic Compensation Framework Consultation (Michelle Seguin and Helen Carbone)	61100	830010				15.00				\$ 15.00	
	10-Oct-12	Westway Taxi from Ottawa Airport to Ottawa District Office to attend Clinic Compensation Framework Consultation (Michelle Seguin, Cory Philipzyk, and Helen Carbone)	61100	830010				35.00				\$ 35.00	
	16-Oct-12	TAXI TO 425 ADELAIDE TO MEET WITH ALCO RE COMP FUNDING FRAMEWORK	61100	830010				12.00				12.00	
<p>** By signing this expense claim it is understood that the supervisor and/or manager who approves this claim have read and understands Legal Aid Ontario's Travel, Meal and Hospitality Expenses Policy. The supervisor and/or manager acknowledges that by signing this expense claim it is in compliance with the policy.</p> <p>NOTE: PLEASE ATTACH ALL ORIGINAL RECEIPTS WITH YOUR CLAIM BY THE 15th OF MONTH FOLLOWING THE MONTH THE EXPENSES WERE INCURRED.</p>										TOTAL KMS		0	0
										SUBTOTAL			
										(LESS ADVANCE)			
										TOTAL		643.34	\$634.34
FOR PROVINCIAL OFFICE USE										OCT 24 2012		198536	
APPROVED	SIGNATURE			DATE		SUPERVISOR'S SIGNATURE**							
DATE	TITLE												

KE 10/18/12

①

Tim Hortons
Thunder Bay Airport
Thunder Bay, ON
GST# R134071117

REPRINT

THANKS FOR VISITING
OUR STORE

	1	# 5
RG CHILI White Bun toasted butter		
on-side		4.09
SUBTOTAL		4.09
HST		0.20
HST1		0.33
TAKE OUT		\$4.62
CASH		20.00
CHANGE		15.38

CAS# 022
7385

Till 2 Shift 2
12:25PM 10/ 9/12 2686-002

② RECEIPT

CALL NO: _____ DATE Oct 9, 2012

CAB NO: _____ AMOUNT \$ 25.00

DRIVER'S NAME _____

FROM Island Airport

TO _____

NOTE: Driver is an Independent Contractor, any HST Input Credit may be claimed as "NOTIONAL" or applied to driver's registration number, not Co-op Cabs.

DRIVER'S HST NO
(if applicable)

3

Sandra Birnbaum

From: Michelle Séguin
Subject: FW: Electronic Copy of Porter Airlines flight to Ottawa ticket



Passenger Itinerary

Thank you very much for your business. We have confirmed your reservation in our system. You will not receive a paper ticket. Please review flight information for accuracy and make note of ID requirements and recommended check-in times. You may print this itinerary for your reference.

MS. MICHELLE SEGUIN
40 DUNDAS STREET WEST
SUITE 200
TORONTO, ON M5G2H1
CANADA

Confirmation Number: G49Q7P **Agent Name:** 1842
Booking Date: 05 Oct 2012 **Booked By:** SANDRA

Passenger Information:

Name **VIPorter Number** **Flight #/Seat #**
Ms. Michelle SEGUIN 259/NA 280/NA

Flight Information:

Date	Flight	Depart	Arrive	Stops
10 Oct 2012	259	Toronto (YTZ) 12:35	Ottawa (YOW) 13:31	
10 Oct 2012	280	Ottawa (YOW) 21:10	Toronto (YTZ) 22:10	

Fare Summary (CAD):

Base Fare:	\$400.00
NAV and Surcharges:	\$34.00
Air Traveller Security Charge:	\$14.24
Airport Improvement Fee:	\$40.00
Harmonized Sales Tax:	\$63.48
Total Fare Price:	\$551.72

Visa:

\$551.72

Balance Due:

\$0.00

GST/HST Number: 841583271

QST Number: 1212573775

Baggage Policy:

TWO items of carry-on baggage are permitted per fare-paying passenger.

- Carry-on baggage is limited to two pieces:
 - 1 standard article not exceeding 55 cm x 40 cm x 23 cm (21.5" x 15.5" x 9") and weighing less than 9 kg (20 lbs)
 - 1 personal article not exceeding 43 cm x 33 cm x 16 cm (17" x 13" x 6") and weighing less than 9 kg (20 lbs)
- Items that are not permitted in carry-on baggage aboard the aircraft are those that present a potential hazard. They include, but are not limited to, weapons, tools, restraining devices, toy weapons, cutting and puncturing devices (knives, box cutters, scissors, straight razors) ice skates and other hazardous items as defined and regulated by law including explosives, poisons, and other toxic materials.
- All carry-on baggage must fit in the sizing units located both at check-in and the gate areas.
- On board the aircraft, all carry-on baggage must be stowed under the seat or in the overhead compartments.
- The following items are not counted as carry-on: coats, cameras, receptacles containing human remains, containers carrying life sustaining items, strollers, child restraint systems, crutches, canes, walkers and other such items.
- Porter may require that an item of carry-on baggage travel as checked luggage if the bag cannot be safely stowed in the cabin.

ONE item of checked baggage is permitted, free of charge, per fare-paying passenger.

- One piece of checked baggage is accepted free of charge for each fare paying passenger.
- The total combined weight of all checked baggage is limited to 23 kg (50 lbs).
- The weight of the baggage is combinable for passengers booked under the same reservation file.
- A second piece of checked baggage is permitted for a charge of \$20 CAD/USD per direction.
- There is a \$50 CAD/USD charge per additional bag, over and above 2 pieces of baggage.
- Any combined weight above 23 kg (50 lbs) will be charged at a rate of \$5 CAD/USD per kg.
- No single piece can weigh more than 32 kilos (70 lbs).
- The maximum size allowed is 158 cm (62 in) total dimension (the sum of the length, width and height).
- The only exceptions to the additional baggage fees are skis and snowboards.

Please note: In the event that passengers have both excess and overweight baggage, both charges will apply.

For more information on Porter's baggage policy, please consult www.flyporter.com/Travel/Baggage-Allowance?culture=en-CA.

Identification Requirements:

- To board a flight within Canada, all passengers are required to present one piece of valid government-issued identification that includes a photograph and the passenger's name, or two pieces of valid government-issued identification showing the passenger's name, without photographs. **IMPORTANT** – the name on the identification must match the name on the boarding pass.
- All passengers travelling to and from the United States are required to present a valid passport. Citizens of countries other than Canada and the United States should contact their consulate or embassy for boarding requirements. Proof of onward or return travel may be required at check-in.
- When you arrive at Newark, Boston or Washington Dulles airports, pre-approved travellers can use the NEXUS/Global Entry Kiosks. When you arrive at Billy Bishop Toronto City Airport, pre-approved travellers can use the Nexus kiosk with Iris recognition scanning. Please note that you should still carry your passport at all times.

Auto Check-in

No need to check-in; Auto Check-in will do it for you!

Prior to departure, Porter will issue a print friendly version and an electronic version of your boarding pass. It will be attached to the Flight Reminder sent to the email address on file. Before arriving at the airport, please print a paper copy of your boarding pass or verify that you are able to view the electronic version of the boarding pass on your [internet enabled mobile device](#).

Not all reservations are eligible for Auto Check-in; please visit [Auto Check-in](#) for further details.

Seat Selection

Seat numbers are assigned prior to departure, unless otherwise pre-selected at this time.

Firm fare reservations will be charged \$15 CAD/USD (plus tax) per flight for seat selection. There is no additional charge for Flexible or Freedom fare reservations.

Visit [My Bookings](#) to pre-select a seat more than 24 hours before a flight. After you have been Auto Checked-In and a seat has been assigned, visit [Web Check-in](#) to change seats.

You can select your seat preferences (aisle or window and the area of the plane through your [VIPorter account](#). We will attempt to accommodate your preferences when automatically assigning seats.

For operational reasons, Porter reserves the right to change seats at any time.

Arrival, check-in and boarding times depend on the originating airport as outlined below:

Canada:

	Billy Bishop Toronto City Airport	Other Airports
Recommended Arrival Time	30 min	60 min
Check-In Closes	20 min	30 min
Boarding Time	15 min	20 min

U.S.:

	Billy Bishop Toronto City Airport	Other Airports
Recommended Arrival Time	60 min	90 min
Check-In Closes	45 min	60 min
Boarding Time	15 min	20 min

All times prior to scheduled departure time.

Please note that failure to meet the required times may result in reassignment of seats or cancellation of booking without compensation.

Fare Rules:

Please note:

- Tickets are non-transferable.
- No-shows are not permitted, and result in the forfeit of the full amount of the fare at departure time.
- Credit can be used to purchase future flights up to 12 months after the credit is issued, either online or by calling Porter Customer Care at 1-888-619-8622.

Firm Fare:

- Changes or cancellations are permitted online or through the Call Centre up to 1 hour prior to departure time for a

- fee of \$75 CAD/USD per passenger per direction change plus fare difference and applicable taxes.
- Same-day changes are permitted at the airport for a \$150 CAD/USD flat fee per direction plus applicable taxes, subject to availability.
- Same-day changes are permitted at the airport for travel between Toronto and Montréal or Ottawa for a \$75 CAD/USD flat fee per direction plus applicable taxes, subject to availability.
- Cancelled flights are credited (less change fee) for future Porter flights. Credit for future Porter flights is valid for 12 months from issuance of credit.
- Advanced seat selection is available for \$15 CAD/USD per segment plus applicable taxes.
- Eligible VIPorter members earn 375 VIPorter Points per one-way Firm Fare flight.

Firm Fare:

- Changes or cancellations are permitted online or through the Call Centre up to 1 hour prior to departure time for a fee of \$75 CAD/USD per passenger per direction change plus fare difference and applicable taxes.
- Same-day changes are permitted at the airport for a \$150 CAD/USD flat fee per direction plus applicable taxes, subject to availability.
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- Advanced seat selection is available for \$15 CAD/USD per segment plus applicable taxes.
- Eligible VIPorter members earn 375 VIPorter Points per one-way Firm Fare flight.

Connecting Flights:

For more information on connecting flights, please consult www.flyporter.com/Travel/Connecting-Flights.

VIPorter Frequent Flyer Program:

Registered VIPorter members can earn VIPorter Points each time they travel and can redeem them for rewards in as few as five flights.

Fare Class Flown	VIPorter Points earned (per one-way flight)
Firm Class	375
Flexible Class	750
Freedom Class	1500

7500 Points are required to redeem a complimentary one-way flight. For more information, visit www.flyporter.com.

Getting to the airport:


The Porter shuttle bus runs to and from the west entrance of The Fairmont Royal York Hotel, at the north-east corner of Front and York streets, just across from Union Station

- Paid parking is available at Toronto City Airport at independent lots. However, the number of spots is extremely limited. We recommend that passengers flying into or out of Toronto City Airport use the free shuttle service between the ferry terminal and downtown Toronto. Passenger may also take advantage of the terminal's quick turn-around to access the airport by taxi or car service.

Please go to www.flyporter.com/Travel/Directions-How-To-Get-To?culture=en-CA to see the map.

Contact Us:

- To manage your booking online, please go to <https://www.flyporter.com/Flight/My-Bookings?culture=en-CA>
- For further information on Porter Airlines policies and procedures, please go to www.flyporter.com.

- 
- To speak to a Contact Centre representative, please call **(888) 619-8622**.

Pet Policy

For more information on Porter's pet policy, please consult www.flyporter.com/Pets.

BECK TAXI RECEIPT 416-751-5555

Cab No. 579 H.S.T.

From 40 Dundas McCowan

To Toronto Airport Consistat

Date Oct 10/12 Amount 15

Signature [Redacted]

win weekly giveaways entry code to win with every ride. BECK TAXI streets10 Toronto's visual search engine. see reverse for your entry code to win.

(5)

WESTWAY TAXI 11 BENTLEY AVE. NEPEAN ON K2E 6T7

TERM # 42266745 RECORD # 001047 HOST INVOICE # 1000454 HOST SEQ # 1000408

CARD *****5184 CREDIT/VISA D 2012/10/10 13:42:47

Purchase AMOUNT \$33.50 AIRTIME FEE \$1.50 TOTAL \$35.00

AUTH#:006954 B:0001 HTS: 20121010134355

TRANSACTION APPROVED - 000 THANK YOU

VISA AID: A0000000031010 TC: A549D71538BCAA67 TUR: 0080008000 TSI: FB00

CUSTOMER COPY

OTTAWA TAXI 613 523 1234 1051

(6)

ROYAL TAXI 628 WILSON AVE. TORONTO, ON M3K 1Z3 416-777-2157

Search Id:458210878411 Item #:0039 VISA PURCHASE Acct:XXXXXXXXXX5184

APPROVED

AMOUNT \$10.75 TIP \$1.25 TOTAL \$12.00

Ref. #: 60124206 S Auth. #: 074392

Date: 2012/10/16 Time: 12:42P Response: AUTH 074392

Sandra Birnbaum

From: Michelle Séguin
Sent: October-31-12 5:39 PM
To: Sandra Birnbaum
Subject: FW: Audit Committee Conference Addendum - Directors Source News

Follow Up Flag: Follow up
Flag Status: Flagged

Could you ck how much this conference is. tx

From: CICA Member Services [<mailto:directorssourcenews@cica.ca>]
Sent: October-31-12 5:25 PM
To: Michelle Séguin
Subject: Audit Committee Conference Addendum - Directors Source News

November 2012

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[Français](#)



Directors Source News

To ensure receipt of our emails, please add directorssourcenews@cica.ca to your Address Book.
For instructions on how to add, please go [here](#).

CICA Conference for Audit Committees

November 20-21, 2012 (Toronto)

This conference features keynote addresses, technical sessions and panel discussions delivered by experienced professionals. Sessions are designed to develop existing and future audit committee members.

The conference will explore roles and responsibilities, financial reporting and corporate governance developments, integrity and ethics, risk oversight, assurance and accounting standards, current regulatory issues and other current topics relevant to this board committee. It provides a unique opportunity to discuss issues and challenges with colleagues from across the country.

..... Register: [CICA Conference for Audit Committees](#)

We welcome your feedback. Please send your comments or suggestions for future issues to directorssourcenews@cica.ca.

Directors Source News is a complimentary e-newsletter providing timely synopses of information about best practices in governance, updates on regulatory changes, legal issues, and professional development opportunities available.

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This message was intended for: sequinm@lao.on.ca
[Update your preferences](#) | [Unsubscribe](#)

Sandra Birnbaum

From: cica@andlogistix.com
Sent: November-05-12 2:46 PM
To: Michelle Séguin
Subject: CONFIRMATION LETTER RECEIPT



CONFIRMATION

Event **Conference for Audit Committees**
November 20-21, 2012
Westin Harbour Castle, Toronto, ON

Reference No. K70469

Name	MICHELLE ANNETTE SEGUIN	Please review your personal data and if corrections are required, email or fax back a copy of this form with the noted corrections to the Registrar below.
Company	LEGAL AID ONTARIO	
Business Address	40 Dundas Street West Suite 200 TORONTO, Ontario M5G 2H1	
Login Email Address	seguinm@lao.on.ca	
Attendee List/Badge	MICHELLE ANNETTE SEGUIN LEGAL AID ONTARIO TORONTO, Ontario	
Dietary Requirements		
Accessibility Requirements		

ITEM	ITEM NO.	PRICE
2012 Conference for Audit Committees* (20-21 November 2012)	10005982-12	\$1790

GST # R106861578

HST #10686 1578 RT0001

QST #1010544323 TQ 0001 SS

Plus 13% HST \$232.70

Total Payment \$2,022.70

BALANCE DUE \$0.00

**Conference registration includes BONUS FREE post-event CD-ROM
(The CD-ROM will be available approximately 6-8 weeks post-event)*

DETAILS

Event Location Westin Harbour Castle
One Harbour Square
3rd Floor
Toronto, ON M5J 1A6
Phone (416) 869-1600
Website www.westinharbourcastletoronto.com

- Accommodations **A block of guestrooms has been reserved at a guaranteed rate. Book early to avoid disappointment.**
See www.clearningcentre.ca for further details on room rates, phone and online reservations.
- Registration Desk/Breakfast November 20, 2012, 7:30am
Conference Begins November 20, 2012, 8:30am
Conference Ends November 21, 2012, 4:00pm (end time subject to change)
- Meals Include Continental Breakfast and Lunch each day
- Access to Materials Materials will be available approximately 2 weeks pre-event
1) Visit www.clearningcentre.ca
2) Log in
3) Go to **My Library > my materials**
4) Select **2012 Conference for Audit Committees**
- Cancellation Policy Restrictions apply, please click here for Cancellation Policy.
- Questions/Corrections **REGISTRAR**
Jimmy Tanudjaja
e. cica@andlogistix.com
t. 416-651-5086 or 1-800-651-5086
f. 416-593-1805

Conference for Audit Committees

November 20-21, 2012
 The Westin Harbour Castle
 Toronto, ON

AGENDA SUBJECT TO CHANGE

	DAY ONE - TUESDAY, NOVEMBER 20, 2012
7:00 – 8:30	REGISTRATION AND CONTINENTAL BREAKFAST
8:30 – 9:30	<p>Opening Remarks and Keynote Address</p> <p><i>Speaker: Diane Francis, Editor-at-Large, The National Post</i></p> <p>About Diane Francis</p> <p>Diane Francis, Editor-at-Large for the National Post is well known across the country for her newspaper columns. She also blogs on <i>The Huffington Post</i>, is a broadcaster and author of nine best-selling books. In addition she is a sought-after speaker, host of events and participant in conferences around the world. She has been honored with many prestigious awards from associations, publications and universities across the country. In the Fall of 2007, Diane expanded her column online by launching her blog, which focuses on North American political and business issues.</p> <p>She has been a Media Fellow at the World Economic Forum in Davos for many years and was a Shorenstein Fellow in fall 2006 at Harvard's Kennedy School of Government. In fall 2007, she became a Distinguished Visiting Professor at Ryerson University's Rogers School of Management, Canada's largest business school. In 2010, she became a member of Ryerson's cabinet.</p> <p>In addition to her work as a financial journalist, Diane Francis is the author of: <i>Immigration: The Economic Case</i>; <i>BRE-X: The Inside Story</i>; <i>Fighting for Canada</i>; <i>Underground Nation</i>; <i>A Matter of Survival</i>; <i>The Francis Inside Guide to Canada's 50 Best Stocks</i>; <i>Entrepreneurs and Controlling Interest - Who Owns Canada</i> and <i>Who Owns Canada Now</i>.</p> <p>Diane Francis has served on the advisory boards of York University East/West Enterprises and CAN the Canadian Foundation for AIDS research as well as on the board of directors for CARE Canada, the George Brown College Foundation Board and was Chair of the successful \$22-million campaign for Ryerson University's Community Health Centre which was opened in fall 2002.</p> <p>She is a director of Aurizon Mines of Vancouver, listed on the Toronto and American Stock Exchange. In November 2009 she was named a trustee of the Bermuda Institute of Ocean Sciences, a world leader in oceanography research.</p>
9:30 – 10:15	<p>Emerging Governance Challenges for Canadian and Global Organizations</p> <p><i>Speaker: José R. Hernandez, FGI Europe AG</i></p> <p>Overview</p> <p>Good governance is a key part of the mandate for every board, and most governance issues have a committee implications. This session will explore examples of large global organizations that have experienced significant challenges in this area, and how they have rectified these difficulties. It will a</p>

focus on current best practices, including how important it is to have senior management set the "tone at the top" in order to establish a corporate ethic that truly permeates the whole organization.

About José R. Hernandez

José R. Hernandez is the Chief Executive Officer of FGI Europe AG. Dr. Hernandez has been based in Europe since 2001, advising senior executives and directors of multinational corporations on issues of ethics, compliance and regulation at their companies, particularly in response to U.S. and European regulatory inquiries. In addition, he has been involved in the design and implementation of ethics and compliance programs at global multinational organizations across a wide range of industries including automotive, pharmaceuticals, information technology and international sport.

Since 2010, Dr. Hernandez, in his capacity as a court-appointed Deputy Independent Corporate Monitor, has evaluated the implementation of an ethics and compliance program at a major European manufacturer with operations in over 100 countries. Prior to this engagement, Dr. Hernandez assisted Judge Louis Freeh in his role as the company's Independent Compliance Advisor.

Dr. Hernandez is a former European-based partner of PricewaterhouseCoopers (PwC), with extensive expertise in forensic accounting, corporate governance, ethics and compliance. Both at Freeh Group and PwC, he has worked in major European corruption and accounting fraud investigations.

Dr. Hernandez also draws on his academic background in the areas of corporate governance, ethics and compliance, having completed his PhD in Economics and Business Administration at the VU University of Amsterdam (Netherlands) in 2007. His doctoral dissertation is titled "Principles, Processes, and Practices of Fraud Prevention." He is a guest faculty of the university, with research interests in the areas of corporate governance, ethics, sustainability and fraud.

Dr. Hernandez holds degrees as a Bachelor of Mathematics and Master of Accounting from the University of Waterloo (Canada), as well as designations as a Canadian Chartered Accountant, United States Certified Public Accountant and Chartered Financial Analyst.

10:15 – 10:30

BREAK

10:30 - 11:15

International Developments on a Global Auditing Framework: Implications for the Audit Committee

Speakers: Tom O'Neill, Corporate Director and Axel Thesberg, Audit Committee Chair

Overview

Reporting relationships between the audit committee, management, and auditors is changing. The European Commission and the UK Financial Reporting Council have made proposals to enhance the integrity and transparency of financial reporting. The PCAOB has adopted and submitted for SEC approval Auditing Standard No. 16, "Communications with Audit Committees" that encourages vigorous communication between the auditor and audit committee. This session will provide an update of proposed changes, how they may impact audit committees, and CICA and CPAB plans to respond.

About Tom O'Neill

Tom O'Neill was Chief Executive Officer of PricewaterhouseCoopers Consulting (provider of management consulting and technology services) from January 2002 to May 2002 and then Chair of the Board from May 2002 to October 2002.

Mr. O'Neill is a former Vice-Chair of the Board of Trustees of Queen's University and a past member of the Advisory Council of Queen's University School of Business. Mr. O'Neill graduated from Queen's University with a BComm and is a chartered accountant. Mr. O'Neill received an Honorary LLD from Queen's University and is a Fellow of the Institute of Corporate Directors.

Mr. O'Neill is also a director of Adecco S.A., Bell Canada, Loblaw Companies Limited, Nexen Inc. and Bank of Nova Scotia.

About Axel Thesberg

Axel Thesberg is Chair of the Audit Committee of the Toronto Organizing Committee for the 2015 Pan American and Parapan American Games. Mr. Thesberg is also currently coordinating an audit quality project for the Canadian Institute of Chartered Accountants (CICA) and the Canadian Public Accounting Board (CPAB), which includes developing a Canadian position on certain audit reforms being proposed in other countries (e.g. audit only firms, mandatory audit firm rotation, etc.) and the role of audit committees in enhancing audit quality.

Mr. Thesberg retired as a partner of KPMG Canada on September 30, 2010. He currently has a part-time role as the Executive Chairman of KPMG's global Professional Indemnity Insurance Committee, overseeing professional indemnity insurance for all KPMG member firms throughout the world. Prior to retiring he was Canadian Managing Partner, Professional Standards and Risk Management and a member of KPMG Canada's Management Committee. In this capacity, Mr. Thesberg was responsible for accounting and auditing professional standards and risk management for KPMG Canada's Auditing, Tax and Advisory practices.

Globally, Mr. Thesberg was a member of KPMG's Auditing Standards Panel and its Quality and Risk Management Committee.

Mr. Thesberg was a member of the Accounting Standards Oversight Council (AcSOC) from its inception to the expiration of his term in 2008. AcSOC is responsible for overseeing accounting standard setting in Canada. He also played a leadership role with a group of representatives of the large CA firms, the securities commissions and various other government agencies in developing CPAB, which provides public oversight of the auditing profession in Canada.

Mr. Thesberg developed the audit committee module for the Institute of Corporate Directors (ICD) Directors Education Program and instructed the sessions held at Toronto's Rotman School of Management. He also developed and facilitated KPMG Canada's semi-annual Audit Committee Roundtables that are designed to keep audit committee members updated on current developments in corporate governance, accounting standards and securities regulations.

Mr. Thesberg served as KPMG's senior partner on several major clients, including the Bank of Nova Scotia and Canadian National Railway (CN).

11:15 - 12:00

Corruption: How Audit Committees Manage Compliance with Canada's "Corrupt and Foreign Public Officials Act"

Speaker: Louise Lalonde, Audit Committee Advisor

Overview

More and more Canadian organizations are recognizing that there are often tremendous growth opportunities in the developing world. Many of these countries operate under different laws and cultural norms than what we take for granted in Canada, and corruption is often common practice. Audit committees need to be aware of current legislation both in Canada and in other markets where their organizations operate, and the financial and reputational consequences of being offside the legislation. This session will help directors focus on key questions they need to be asking of management to ensure a rigorous assessment of corruption risk has been made and a program is in place to mitigate this risk.

About Louise Lalonde

Louise Lalonde, is a senior risk mitigation expert, who has partnered with large multinational organizations to identify, assess, and design programs to mitigate fraud and corruption risks. Levera

years of global policy development, implementation and management experience to ensure the effectiveness of risk management practices across multiple geographies. Provides valuable partners organizations operating in or expanding into developing countries, having worked in over 35 countries across five continents. Expertise areas include: design, implementation and evaluation of anti-corruption risks and controls; revitalization of business processes to achieve legislative compliance; global implementation of anti-bribery compliance programs and improving the effectiveness of these programs in high-risk countries; development and delivery of training programs for executives to identify and evaluate risks and implement cost effective controls; advising boards and senior management on risk mitigation techniques.

Louise was most recently a Consulting Partner with Freeh Group International Europe. She worked for 26 years in various positions including Partner, Risk and Regulatory; Partner, Advisory Services; Manager, Audit and Business.

Louise received her Bachelor of Commerce from the University of British Columbia. She is a Canadian Chartered Accountant and Certified Public Accountant in the USA.

12:00 - 1:15

LUNCH

1:15 - 2:00

The Changing World of Shareholder Engagement: How Audit Committees Can Help

Speakers: Andrew MacDougall, Osler, Hoskin & Harcourt LLP and Tony D'Onofrio, Canadian Coalition for Corporate Governance

Overview

The world of shareholder engagement is changing. Shareholder communications have been a central part of a board's fiduciary responsibility but now boards are under increasing pressure to engage more frequently and meaningfully with shareholders. At the same time activist shareholders are playing more of a role. This session will discuss strategies to enhance shareholder confidence in the board's oversight of the company. It will deal with the risk and benefits of engaging shareholders, and suggest new ways to effectively interact with them.

About Andrew MacDougall

Andrew MacDougall, is a partner at Osler, Hoskin & Harcourt LLP practicing in the Firm's corporate practice group with a particular focus on corporate governance and disclosure. He advises boards and house counsel on a broad spectrum of corporate governance issues, including directors' duties, executive compensation, shareholder engagement and shareholder meeting matters. He has a long-standing interest in corporate governance matters and has written and spoken extensively on corporate governance matters. His publication on shareholder engagement, the "Directors Briefing - Shareholder Engagement Questions for Directors to Ask", which he co-authored with Robert Adamson, was released earlier this year by the Canadian Institute of Chartered Accountants' Risk Oversight and Governance Board.

About Tony D'Onofrio

Tony D'Onofrio, gained extensive experience in corporate finance and M&A as an investment bank and a leading financial services firm in Canada, prior to joining the CCGG in 2010. He has advised board and management teams across a wide range of industries on both strategic and capital markets matters. He holds a Masters in Business Administration and the Chartered Financial Analyst designation. He is a member of the Institute of Corporate Directors and a faculty member of The Directors College. He also serves on the board of a large non-profit organization.

One of his primary responsibilities at CCGG is the development and oversight of the annual board engagement program.

<p>2:00 - 2:45</p>	<p>CEO/CFO Certification: Why This is Still a Key Topic for Audit Committees</p> <p><i>Speaker: Jim Goodfellow, Corporate Director</i></p> <p>Overview</p> <p>CEO/CFO certification has been a requirement for TSX-listed companies for a number of years, yet compliance reviews in 2010 and 2011 continue to show significant deficiencies in the certification process. This session will discuss this issue, highlight the legal implications for directors and provide practical advice in creating a "best in class" certification process, including potential key questions for management and the external auditors. Ultimately this will allow directors and in particular audit committee members to increase their confidence in their organizations' disclosures and financial reporting.</p>
<p>2:45 - 3:00</p>	<p>BREAK</p>
<p>3:00 - 3:45</p>	<p>Sustainability: Why Audit Committees Can't Ignore This Emerging Topic</p> <p><i>Speaker: Tyler Elm, Canadian Tire Corporation Limited</i></p> <p>Overview</p> <p>Sustainability has become an increasingly important topic on board agendas over the past few years from one of Canada's leading experts on the development of an integrated sustainability program and audit committee members need to understand what's happening, and in particular, understand the implications for corporate reporting and disclosure.</p> <p>About Tyler Elm</p> <p>Tyler Elm, is charged with corporate strategy and business sustainability for the Corporation and its strategic business units. Tyler specializes in employing business sustainability as a strategic framework for innovation, growth and organizational enhancement in profit-seeking organizations.</p> <p>In addition to his role with Canadian Tire, Tyler is the Chairman of the Energy and Environment Committee of the Canadian Chamber of Commerce, a Member of the Retail Council of Canada's Sustainability Force; a member of the Sustainability Committee of the Ontario Chamber of Commerce; and Co-founder of the Global Business Sustainability Network, a private environmental for-profit serving more than 20 member corporations with a cumulative annual revenue of \$1.5 trillion.</p> <p>Tyler was recently named one of Canada's "Clean16" - one of 16 individuals in Canada who have done the most to advance the cause of sustainability and clean capitalism - and was recognized for Excellence in Corporate Responsibility - an award honouring corporate Canadians who are transforming business practices and creating social and environmental change. Tyler has an unprecedented wealth of first-hand knowledge, experience and success at incorporating sustainability into business operations and culture in industry and government. In addition to his experience and accomplishments with Canadian Tire, Tyler was one of the principal architects of the business sustainability strategies at two US-based global retailers, a Principal Strategy Consultant with PriceWaterhouseCoopers and IBM Consulting, and a Registered Professional Biologist whose applied research and work in conservation biology focused on conserving biodiversity in industrial forest environments.</p>
<p>3:45 - 4:30</p>	<p>CPAB Developments: Implications for Your Audit</p> <p><i>Speaker: Brian Hunt, Canadian Public Accountability Board</i></p> <p>Overview</p> <p>CPAB's role is to build public confidence in the integrity and high quality of independent auditing in Canada. To do this, CPAB assesses the fundamentals and policies of auditing firms that participate in the oversight program, reviews a selection of individual audit files and provides feedback to the firms. The</p>

session will focus on how these reviews affect audit firms, their audit planning and execution, and the committee.

About Brian Hunt

Brian Hunt, was appointed CEO of the Canadian Public Accountability Board (CPAB) on January 2, 2009. Mr. Hunt was active in CPAB's creation and served as a Director since its inception. Mr. Hunt Represents CPAB in the Independent Forum of Audit Regulators (IFIAR) Advisory Committee to the and Vice-Chair. In addition, he serves as Chair of IFIAR's Global Public Policy Committee (GPPC) Working Group. Prior to his current position at CPAB, Mr. Hunt was President and CEO of the Institute of Chartered Accountants of Ontario (ICAO). Prior to his position with the Ontario Institute, Mr. Hunt he numerous executive positions in both not for profit as well as for profit organizations.

As President of Canada's largest membership organization, the Canadian Automobile Association (CAA), he represented CAA as a director and/or officer of the International Touring Alliance, the American Automobile Association Traffic Safety Foundation and the Transportation Association of Canada. His earlier positions have included President of Hayward Industrial Products Inc., President of PHH Canada and Vice-President of Sales and Client Services PHH's U.S. operation.

In 2000, Mr. Hunt was elected a Fellow of the ICAO. Chartered Accountants are elected to Fellowship the ICAO's Council, in recognition of their outstanding career achievements and leadership contributions to the community and the profession. Mr. Hunt served as a member of the ICAO's 2000-01 Governing Council.

Mr. Hunt has been a frequent guest on public affairs television and radio programs, a frequent presenter at the Economic Club of Canada and other seminars, and has authored a weekly column in one of Canada's major business newspapers.

4:30 - 5:30

NETWORKING RECEPTION

DAY TWO – WEDNESDAY, NOVEMBER 21, 2012

8:00 – 9:00

REGISTRATION AND CONTINENTAL BREAKFAST

8:00 – 8:45

BREAKFAST SESSION

Global IT Security: What Boards and Audit Committees of Organizations Must Know to Minimize Risk

Presented and Sponsored by
ERNST & YOUNG LLP

Speakers: Gaétan Houle and Rafael Etges, Ernst & Young LLP

Overview

The IT security landscape is ever complex and changing at a rapid pace. Audit committee members cannot be expected to understand and keep up with evolving threats. Nevertheless, they are required to review and make recommendations on IT security issues and related risks for major IT investments in their companies. Therefore, boards and audit committees must find a way to manage this paradox in order to minimize risk.

In this session, we will discuss how audit committee members can:

- Stay abreast of new IT security trends by leveraging existing processes
- Evaluate the risk tolerance of your organization

- Use business-driven Key Performance Indicators (KPIs) to measure the effectiveness of the IT s program within your organization

Using financial industry best practices, we will also enact a Chief Information Security Officer's presentation to the audit committee of a fictitious financial institution. The short presentation will offer dashboards on security trends, critical KPIs for executives, as well as action plans.

About Gaétan Houle

Gaétan Houle is Partner, Advisory Services and Canadian IT Security Leader at Ernst & Young LLP. Gaétan has over 27 years of experience of broad international experience in all aspects of security, including IT security, physical security, counterterrorism, business continuity planning, identity and a management, security governance framework-development, security awareness program development and security compliance.

Gaétan previously held positions at leading organizations including Bell Canada, European Aeronautics and Space, Bombardier Aerospace and held senior positions with federal government including Consul at the Canadian Embassy in Lima, Peru and with the Department of Foreign Affairs and International Trade.

Having extensive international experience, Gaétan also provides support at the global level when his expertise is needed.

Gaétan was a Board member of the International Security Management Association 2010-2011.

About Rafael Etges

Rafael Etges is a Senior Manager in our Advisory Services and Greater Toronto Area Information Security Leader. In this role, he provides oversight and direction to our practice regarding information assurance, privacy and IT security risk management.

Rafael has over 16 years of advisory experience in Latin and North America, including 7 years directing the security practice in a national telecom. Rafael has subject matter expertise in IT risk and security management, privacy, business continuity, IT governance and compliance.

His experience includes defining the security framework for a media/telecommunications group; establishing security policies for a \$100M e-services organization while simultaneously preparing for PCI audit processes; providing security advisory to a pension plan controlling net assets of \$100M; leading the risk assessments; auditing disaster recovery and continuity plans of an Ontario utility during 100% readiness tests; and was responsible for building the business case for a PCI-DSS compliance strategy for a \$10B organization.

Rafael is a lecturer at the Police Senior Leadership program at Rotman School of Management/ UofT and is a regular speaker at national conferences and public events.

9:00 – 10:00

Keynote Address: Dealing with Shareholder Activism

Ian Delaney, Chair of Sherritt International Corporation

About Ian Delaney

Ian Delaney, is the Chair of Sherritt International, a Canadian-based diversified natural resource corporation engaged in the production of nickel, cobalt, thermal coal, oil, gas and electricity. He began his career in investment business and was President of Merrill Lynch before forming his own private equity company.

which ultimately launched a successful proxy battle to gain control of Sherritt. Mr Delaney's colourful controversial career has earned him many nicknames including "Fidel Castro's Favourite Capitalist" "The Smiling Barracuda".

Mr. Delaney also is Chair of ORNGE, and a Director of Cenovus Energy Inc. and Westaim Corporati

10:00 – 10:15

BREAK

10:15 - 11:00

Audit Literacy versus Financial Literacy: The Role of Professional Skepticism

Speakers: David Atkins, Independent Director and Ed Waitzer, Stikeman Elliot LLP

Overview

Audit committee members generally have a heavy workload and just getting through all the requirements in a timely fashion is often the major focus. In order to be an effective audit committee member, it is important that members remain objective in their thinking. Their role should be to probe and challenge management assertions rather than rubber stamp decisions. This session will explore how audit committee members get the views and information from below and beyond senior management, and how they probe and ensure that they have the complete picture rather than just senior management's viewpoint.

About David Atkins

David Atkins, having served in the military, David Atkins graduated from Oxford University with a Master of Arts in Law. Following his designation as a Chartered Accountant, he was awarded his FCA at the Ontario Institute of Chartered Accountants in 1974. As a partner at Coopers & Lybrand, he served on the firm's Executive Committee and led its audit and accounting practice. After leaving C&L, he served as Chairman of Swiss Reinsurance Group in Canada, as well as Chairman of the Audit Committees of the Reinsurance Group of companies in the United States. He is a Governor (and past Chair) of Actra Fraternal Benefit Society and Chair of its Investment Committee. David is also a director, and chair of audit committees, of Pethealth Inc., Integrated Asset Management Inc. Nightingale Informatix Corp and CIGNA Life of Canada. He advises companies on matters of corporate governance and provides professional support in legal disputes.

About Ed Waitzer

Ed Waitzer, was Chair of Stikeman Elliott from 1999 to 2006. His practice focuses on complex business transactions, as well as advising in respect of various public policy and governance matters. He leads Corporate Governance Group.

Ed serves as a director of numerous corporations and community organizations, and writes and speaks extensively on a wide range of legal and public policy issues. He chaired the Strategy Working Party which restructured the International Accounting Standards Board. He served as a member of the Canadian Institute of Chartered Accountants' Task Force on Standard Setting, as a public director of the American Institute of Certified Public Accountants, and as a member of the Independent Review Panel on the Comptrollership Function in Canada. He is the president of the Canada-Chile Business Council.

Ed serves as contributing editor or on the advisory boards of various publications including Corporate Ethics Monitor, Corporate Governance Review, Financial Regulator, International Securities Regulatory Report, The Philanthropist and the Valuation Law Review and is editor-in-chief of the CCH Canadian Securities Law Reporter. Ed has also been recognized by many publications.

Ed has been the Falconbridge Professor of Law at Osgoode Hall Law School. He is a professor and holds the Jarislowsky Dimma Mooney Chair in Corporate Governance and is director of the Hennick Centre for Business and Law at Osgoode Hall Law School and Schulich School of Business at York University.

Ed was vice-president of the Toronto Stock Exchange before joining the Stikeman Elliott. He practised with Stikeman Elliott from 1981 to 1993, including several years as head of the New York office. Mr. Wait rejoined the firm after serving as Chair of the Ontario Securities Commission from 1993 to 1996. He chaired the Technical Committee of the International Organisation of Securities Commissions from 1996 to 1999, and served as vice-chair of its Executive Committee in 1993. During 2003-2004, he lived in Santiago and was special advisor to the Chilean Superintendent of Insurance and Securities.

11:00 - 12:00

IFRS Update: What You Need to Know About Forthcoming Developments

Speakers: Jonathan Simmons, PwC; Sean Cable, PwC; Doug Hogan, The Dominion of Canada General Insurance Company

Overview

Most public accountable enterprises have now been through one IFRS reporting cycle. However IFRS continues to evolve: there are accounting issues under review and it is important for audit committees to be aware of what areas are under development and in the pipeline, and the implications for their organizations. This session will provide a practical and pragmatic approach to help audit committee members get comfortable with judgments, impairments, valuation issues and other new developments.

About Jonathan Simmons

Jonathan Simmons, is a Chartered Accountant and a partner in the firm of PricewaterhouseCoopers LLP where he is the national insurance practice leader. He has more than 20 years of experience in providing professional services in Canada, the United States and Europe.

Jonathan began his career in England, where he provided audit services to a number of leading companies. In 1997, he relocated from London to Toronto where he currently serves a portfolio of Canadian and US publicly listed companies, privately held companies and large pension plans, providing audit, financial reporting, GAAP conversion, internal controls, capital market, regulatory, due diligence and general advisory services. Jonathan's practice is currently focused on financial services. His experience includes working with manufacturing, shipping, mining and oil and gas companies.

He serves as a Director of the Canadian Youth Business Foundation and The Giles School.

About Sean Cable

Sean Cable, is a Chartered Accountant and a partner in the firm of PricewaterhouseCoopers LLP where he is a Canadian member of the Global Accounting Consulting Services group. He has more than 15 years of experience in providing professional services in Canada and the United States. Sean works with a number of domestic and international clients in providing both technical and implementation support for IFRS and US GAAP. Sean advises companies across all industries with a current focus on energy, technology and consumer / industrial products.

About Doug Hogan

Doug Hogan, has been Chief Financial Officer of The Dominion of Canada General Insurance Company since 1997. He audited at Price Waterhouse from 1987 to 1992 and joined The Dominion in 1992. He holds a B.Comm from the University of Toronto (1987) and became a Chartered Accountant in 1989.

As a member of the Insurance Bureau of Canada's (IBC) Financial Affairs Committee, Mr. Hogan interacts with industry colleagues and regulators regarding financial reporting and regulatory capital matters. He has previously chaired, for five years, IBC's Financial Issues Sub-committee.

Mr. Hogan is a member of the CICA's Task Force on Insurance Accounting which advises the CICA respect to the International Accounting Standards Board's development of a comprehensive insurance accounting standard.

Mr. Hogan has contributed articles in industry publications and has presented at industry conference financial topics.

12:00 - 1:15

LUNCH

1:15 - 2:15

The Shifting Landscape of Social Media: What Audit Committees Need to Know

Speakers: David Florio, Grant Thornton LLP; Gary Baker, Corporate Director; and Ron Budreau, Micro Inc.

Overview

Social media has dramatically changed the communications landscape, presenting a new and uncharted risk for boards and organizations. Social media presents many challenges. It allows little time to prepare communications in an environment where anyone can participate, at times acting as a catalyst for other risks. But it also brings substantial opportunities. This session will present the risks associated with social media, as well as the risks associated with ignoring this important communications device. It will also suggest how to use social media as an effective media tool.

About David Florio

David Florio, has over nineteen years of experience in public accounting and professional services. He has significant experience in Risk Management, IT and internal controls evaluations related to financial, operational and regulatory reporting, and Internal Audit across a wide variety of industries. Many of his engagements related to identifying risk, internal controls requirements, significant accounts and procedure development of process and controls documentation, and development and execution of testing plans for the purposes of satisfying regulatory and external auditor requirements.

David has also led a variety of IT Audit engagements, performing and managing risk engagements across multiple industries, gaining valuable experience in many areas, including IT process and controls review, risk assessments, and information security. Currently David is on the leadership team working on our Social Media and Risk Management go-to market strategy.

About Gary Baker

Gary Baker, is a senior executive with extensive expertise in risk management, internal control best practices and governance of IT. He is a creative and innovative senior practitioner with a proven track record for managing business risks and improving the value delivered by information technology.

His specialties include: effective IT governance; risk management and compliance that adds value to the organization; optimizing IT processes by integrating ITIL and COBIT best practices; and reducing the cost of compliance.

Gary currently sits on the Libro Financial Group Board and is an Audit Committee Member of the Grand River Hospital.

As the owner of gsbaker, Gary helps CIO's, CFO's and Chief Audit Executives identify, prioritize and manage IT risks to create or enhance value to the organization.